

On Calculating the Cardinality of the Value Set of a Polynomial

(and some related problems)

Joshua Erin Hill

Department of Mathematics, University of California, Irvine

Dissertation Defense

2014-Oct-10 (rev. 1.01)

<http://untruth.org/s/p12.html>



Unremitting Propaganda for Combinatorics (Apologies to Michael Spivak)



Section 1

Introduction



Outline

- 1 Introduction
 - Problem Statement
 - Prior Work: Single Variable Case
- 2 Point Counting and Weil Zeta Functions
- 3 Further Combinatorial Antics
- 4 Counting the Value Set of Morphisms in Affine Varieties
- 5 Amortized Algorithms
- 6 Conclusion



The Problem

- ▶ Let $f: X \rightarrow Y$ be a map between finite sets.
- ▶ Denote the value set $V_f = \{f(\gamma) : \gamma \in X\}$.
- ▶ We are interested in the cardinality of V_f , which we denote $|V_f|$.
- ▶ Without constraints or structure, it isn't reasonable to expect any non-trivial algorithm.



Algebraic, Single Variable Case

- ▶ Let $f \in \mathbb{F}_q[x]$, of degree $d > 0$, where $q = p^a$.
- ▶ Denote the value set $V_f = \{f(\gamma) : \gamma \in \mathbb{F}_q\}$.
- ▶ This is the case that has been most studied.



Algebraic Varieties Defined Over Finite Fields

- ▶ X is an affine variety over $\bar{\mathbb{F}}_q$ defined by the simultaneous vanishing of the polynomials $(\alpha_1, \dots, \alpha_\ell) = \alpha \in (\mathbb{F}_q[x_1, \dots, x_r])^\ell$.
- ▶ Y is an affine subvariety of $\mathbb{A}_{\bar{\mathbb{F}}_q}^s$.
- ▶ $(f_1, \dots, f_s) = f \in (\mathbb{F}_q[x_1, \dots, x_r])^s$, a morphism between X and Y .
- ▶ Denote the value set $V_f(\mathbb{F}_{q^k}) = \{f(\gamma) : \gamma \in X(\mathbb{F}_{q^k})\} \subset Y(\mathbb{F}_{q^k})$, and $V_f = V_f(\mathbb{F}_q)$.
- ▶ Note that the $\ell = 0$ case gives an important special case (and this along with $r = 1$ gives the prior case).
- ▶ By $f|_{q^k}$, we mean the function $f|_{X(\mathbb{F}_{q^k})} : X(\mathbb{F}_{q^k}) \rightarrow Y(\mathbb{F}_{q^k})$.



- 1 Introduction
 - Problem Statement
 - **Prior Work: Single Variable Case**
- 2 Point Counting and Weil Zeta Functions
- 3 Further Combinatorial Antics
- 4 Counting the Value Set of Morphisms in Affine Varieties
- 5 Amortized Algorithms
- 6 Conclusion



$$\left\lceil \frac{q}{d} \right\rceil \leq |V_f| \leq q$$

- ▶ These bounds are sharp!
- ▶ If $|V_f| = \left\lceil \frac{q}{d} \right\rceil$, then f is a polynomial with a **minimal value set**.
- ▶ If $|V_f| = q$, then f is a **permutation polynomial**.



Notation: Big-O and Soft-O

- ▶ We have two eventually positive real valued functions $A, B : \mathbb{N}^k \rightarrow \mathbb{R}^+$. Take \mathbf{x} as an n -tuple, with $\mathbf{x} = (x_1, \dots, x_n)$
- ▶ We'll write $|\mathbf{x}|_{\min} = \min_i x_i$.

Definition

1. $A(\mathbf{x}) = O(B(\mathbf{x}))$ if there exists a positive real constant C and an integer N so that if $|\mathbf{x}|_{\min} > N$ then $A(\mathbf{x}) \leq CB(\mathbf{x})$.
2. $A(\mathbf{x}) = \tilde{O}(B(\mathbf{x}))$ if there exists a positive real constant C' so that $A(\mathbf{x}) = O(B(\mathbf{x}) \log^{C'}(B(\mathbf{x}) + 3))$



Algorithms for Arbitrary Polynomials

One can view the problem of finding $|V_f|$ as being a generalization of the problem of determining if a polynomial, f , is a permutation polynomial. There are a few algorithms for this, but the best is:

- ▶ Kayal provided a deterministic-polynomial-time test running in $(d \log q)^{O(1)}$. [Kayal, 2005]



How to calculate $|V_f|$?

- ▶ Evaluate f at each point in \mathbb{F}_q . Cost: $\tilde{O}(qd)$ bit operations.
- ▶ For each $a \in \mathbb{F}_q$, $a \in V_f \Leftrightarrow \deg \gcd(f(x) - a, X^q - X) > 0$. Cost: $\tilde{O}(qd)$ bit operations.



Section 2

Point Counting and Weil Zeta Functions



The Weil Zeta Function on Varieties

Consider the simultaneous zeros of a set of polynomials $f_1, \dots, f_r \in \mathbb{F}_q[x_1, \dots, x_n]$ over $\bar{\mathbb{F}}_q$; call this variety X .

- ▶ Let $X(\mathbb{F}_{q^k})$ denote the \mathbb{F}_{q^k} -rational points of X .

Definition

The Weil zeta function of the variety X is defined to be

$$Z_X = Z_X(T) = \exp \left(\sum_{k=1}^{\infty} \frac{|X(\mathbb{F}_{q^k})|}{k} T^k \right)$$



- ▶ Weil conjectured that the zeta function is rational.
- ▶ This conjecture was first proven by Dwork in 1960 using p -adic methods, and then by Grothendieck in 1964 using ℓ -adic cohomological methods.
- ▶ Approaches to building up Z_X generally start by calculating $|X(\mathbb{F}_{q^k})|$ up to a suitably large k (the maximal degree of the numerator or denominator).



Outline

- 1 Introduction
- 2 **Point Counting and Weil Zeta Functions**
 - **Point Counting**
 - Computing the Weil Zeta Function
 - Computing Many Weil Zeta Functions
 - Point Counting From the Weil Zeta Function
- 3 Further Combinatorial Antics
- 4 Counting the Value Set of Morphisms in Affine Varieties
- 5 Amortized Algorithms
- 6 Conclusion



Harvey's Point Counting Algorithm

Corollary

Let a , n , and m be positive integers, p be a prime, $q = p^a$, $\lambda = \max(a, \lceil (n+1)/2 \rceil)$, $f_1, \dots, f_m \in \mathbb{F}_q[x_1, \dots, x_n]$ be polynomials of positive degree, where each f_i has total degree d_i , and $d_+ = \sum_i d_i$. There is a deterministic algorithm that calculates the number of simultaneous solutions of $f_1(x_1, \dots, x_n) = \dots = f_m(x_1, \dots, x_n) = 0$ residing in \mathbb{F}_q^n in

$$\tilde{O}\left(2^{n+m}(n + 2d_+\lambda + 2\lambda)^{4n}\lambda^3 a^2 p^{1/2}\right) \text{ bit operations.}$$



Harvey's Point Counting Algorithm: Specification

Time complexity:

$$\tilde{O}\left((n + 2d\lambda)^{4n} \lambda^3 a^2 p^{1/2}\right) \text{ bit operations.}$$

- ▶ We start by extracting a point counting algorithm from Harvey's zeta function calculation algorithm.
- ▶ Counts projective points cut out of an affine torus by a single degree d homogeneous polynomial, ${}^h f \in \mathbb{F}_q[x_0, x_1, \dots, x_n]$.
- ▶ Only works if $p \nmid d$.



Harvey's Point Counting Algorithm: Affine Points

Time complexity:

$$\tilde{O}\left(2^n(n + 2d\lambda)^{4n}\lambda^3d^2p^{1/2}\right) \text{ bit operations.}$$

- ▶ Let ${}^hf(x_0, \dots, x_n) = x_0^d f(x_1/x_0, \dots, x_n/x_0)$.
- ▶ Points where $x_0 \neq 0$ correspond to affine points (think: $x_0 = 1$).
- ▶ Characterize the points by which variables are 0: denote the set of variable indices that are 0 as $S \subset \{0, 1, \dots, n\}$.
- ▶ The polynomial hf with some variables set to 0 is still degree d (or identically 0), and cuts out a variety from the affine torus in projective space. The \mathbb{F}_q -rational points of this variety are denoted $\mathcal{X}^{\text{proj}}(\mathbb{F}_q)^S$.
- ▶ The various selections of S induce a partition of the full set of points.

$$|\mathcal{X}(\mathbb{F}_q)| = \sum_{S \subset \{1, \dots, n\}} \left| \mathcal{X}^{\text{proj}}(\mathbb{F}_q)^S \right|.$$



Harvey's Point Counting Algorithm: Divisibility Fix

Time complexity:

$$\tilde{O}\left(2^n(n + 2(d + 1)\lambda)^{4n}\lambda^3d^2p^{1/2}\right) \text{ bit operations.}$$

- ▶ If $p \mid d$, then count the points on x_0^{hf} , which has the same number of points in the affine torus.



Harvey's Point Counting Algorithm: Easy as P.I.E.

Time complexity:

$$\tilde{O}\left(2^{n+m}(n + 2(d_+ + 1)\lambda)^{4n}\lambda^3 a^2 p^{1/2}\right) \text{ bit operations.}$$

Denote:

- ▶ the variety defined by the simultaneous zeros of polynomials f_1, \dots, f_m over $\bar{\mathbb{F}}_q$ as X ,
- ▶ the polynomial $f_I(x) = \prod_{i \in I} f_i(x)$, for some index set $I \subset \{1, \dots, m\}$,
- ▶ and the variety defined by the zeros of $f_I = \prod_{i \in I} f_i$ over $\bar{\mathbb{F}}_q$ as X_I .

The Principal of Inclusion/Exclusion then gives us

$$|X(\mathbb{F}_q)| = \sum_{\emptyset \neq I \subset \{1, \dots, m\}} (-1)^{|I|-1} |X_I(\mathbb{F}_q)|.$$



Outline

- 1 Introduction
- 2 Point Counting and Weil Zeta Functions
 - Point Counting
 - **Computing the Weil Zeta Function**
 - Computing Many Weil Zeta Functions
 - Point Counting From the Weil Zeta Function
- 3 Further Combinatorial Antics
- 4 Counting the Value Set of Morphisms in Affine Varieties
- 5 Amortized Algorithms
- 6 Conclusion



Harvey's Weil Zeta Algorithm

Corollary

Let a , n , and m be positive integers, p be a prime, $q = p^a$, $\lambda = \max(a, \lceil (n+1)/2 \rceil)$, X be a variety over $\bar{\mathbb{F}}_q$ defined by the simultaneous vanishing set of the polynomials $f_1, \dots, f_m \in \mathbb{F}_q[x_1, \dots, x_n]$ with positive total degrees d_i . Denote $d_+ = \sum_i d_i$. There is a deterministic algorithm that calculates the zeta function of X in

$$\tilde{O}\left(2^{8n^2+17n+m} n^{4n+4} (d_+ + 2)^{4n^2+7n} a^{4n+4} p^{1/2}\right) \text{ bit operations.}$$



Harvey's Weil Zeta Algorithm

Time complexity:

$$\tilde{O}\left(2^{8n^2+16n}n^{4n+4}(d+1)^{4n^2+7n}d^{4n+4}p^{1/2}\right) \text{ bit operations.}$$

- ▶ Computes the Weil zeta function of the projective variety cut out of an affine torus by a single degree d homogeneous polynomial, ${}^hf \in \mathbb{F}_q[x_0, x_1, \dots, x_n]$.
- ▶ Only works if $p \nmid d$.



Harvey's Weil Zeta Algorithm: Affine Variety

Time complexity:

$$\tilde{O}\left(2^{8n^2+17n} n^{4n+4} (d+1)^{4n^2+7n} d^{4n+4} p^{1/2}\right) \text{ bit operations.}$$

- ▶ We follow the same as in the point counting algorithm.
- ▶ Homogenize f :

$${}^h f(x_0, \dots, x_n) = x_0^d f(x_1/x_0, \dots, x_n/x_0).$$

- ▶ The polynomial ${}^h f$ with variables in S set to zero is still degree d (or identically 0), and cuts out a projective variety whose weil zeta function is denoted $Z_{\chi^{\text{proj}}}^S(T)$.
- ▶ The analogous addition in every finite extension, which translates to multiplying the zeta functions.

$$Z_X(T) = \prod_{S \subset \{1, \dots, n\}} Z_{\chi^{\text{proj}}}^S(T).$$



Harvey's Weil Zeta Algorithm: Divisibility Fix

Time complexity:

$$\tilde{O}\left(2^{8n^2+17n}n^{4n+4}(d+2)^{4n^2+7n}d^{4n+4}p^{1/2}\right) \text{ bit operations.}$$

- ▶ If $p \mid d$, then examine x_0^hf ; the number of \mathbb{F}_{q^k} -rational points on the variety cut by this polynomial from the affine torus in projective space is the same in every finite extension.



Harvey's Weil Zeta Algorithm: More P.I.E. Please!

Time complexity:

$$\tilde{O}\left(2^{8n^2+17n+m} n^{4n+4} (d_+ + 2)^{4n^2+7n} a^{4n+4} p^{1/2}\right) \text{ bit operations.}$$

For all positive integer k , the Principal of Inclusion/Exclusion then gives us

$$|X(\mathbb{F}_{q^k})| = \sum_{\emptyset \neq I \subset \{1, \dots, m\}} (-1)^{|I|-1} |X_I(\mathbb{F}_{q^k})|.$$

- ▶ Addition of points corresponds to multiplication of zeta functions, and subtraction to division of zeta functions, so

$$Z_X(T) = \prod_{\emptyset \neq I \subset \{1, \dots, m\}} Z_{X_I}(T)^{(-1)^{|I|-1}}.$$



Outline

- 1 Introduction
- 2 Point Counting and Weil Zeta Functions
 - Point Counting
 - Computing the Weil Zeta Function
 - **Computing Many Weil Zeta Functions**
 - Point Counting From the Weil Zeta Function
- 3 Further Combinatorial Antics
- 4 Counting the Value Set of Morphisms in Affine Varieties
- 5 Amortized Algorithms
- 6 Conclusion



Amortized Cost Calculation of Weil Zeta Functions

Corollary

Let n , m , and N be positive integers, $f_1, \dots, f_m \in \mathbb{Z}[x_1, \dots, x_n]$ be polynomials with positive total degrees d_i and maximal coefficients $\|f_i\|$, with $\|f\| = \prod_i \|f_i\|$. For a prime p let X_p denote the affine variety defined over \mathbb{F}_p defined by the simultaneous vanishing set of all the p -reductions of the f_i . Denote $d_+ = \sum_i d_i$. There is a deterministic algorithm to calculate the zeta function for X_p for all $p < N$ in

$$\tilde{O}\left(2^{8n^2+17n+m+1} n^{4n+6} (d_+ + 2)^{4n^2+7n} N \log \|f\|\right) \text{ bit operations.}$$

This proceeds from Harvey's original algorithm in exactly the same way as with the single zeta function computation algorithm.



Outline

- 1 Introduction
- 2 Point Counting and Weil Zeta Functions
 - Point Counting
 - Computing the Weil Zeta Function
 - Computing Many Weil Zeta Functions
 - Point Counting From the Weil Zeta Function
- 3 Further Combinatorial Antics
- 4 Counting the Value Set of Morphisms in Affine Varieties
- 5 Amortized Algorithms
- 6 Conclusion



Here and Back Again?

Recall:

$$\begin{aligned} Z_X(T) &= \exp\left(\sum_{r \geq 1} \frac{|X(\mathbb{F}_{q^r})|}{r} T^r\right) \\ &= \frac{g(T)}{h(T)}, \end{aligned}$$

where $g, h \in 1 + T\mathbb{Z}[T]$. Taking the logarithmic derivative of this expression yields

$$\sum_{r \geq 1} |X(\mathbb{F}_{q^r})| T^{r-1} = \frac{g'(T)}{g(T)} - \frac{h'(T)}{h(T)}.$$



Proposition

If $g \in 1 + T\mathbb{Z}[T]$, then the first R terms of the formal power series $g'(T)/g(T)$ can be deterministically calculated in $\tilde{O}(R^2 \log \|g\|)$ bit operations, where $\|g\|$ denotes the maximum of the absolute values of the coefficients of g .

Proceeds via standard formal power series tools:

- ▶ Kronecker substitution for multiplication of polynomials.
- ▶ Sieveking-Kung for calculating (truncated) the formal power series inverse.



Section 3

Further Combinatorial Antics



The Fiber Product

$$\begin{array}{ccc} X \times_Y X & \xrightarrow{\pi_2} & X \\ \pi_1 \downarrow & & \downarrow f \\ X & \xrightarrow{f} & Y \end{array}$$

In other words (in the category of sets):

$$X \times_Y X = \{(x_1, x_2) \in X \times X : f(x_1) = f(x_2)\}.$$

Similarly, define

$$X^{\times_Y k} = \underbrace{X \times_Y \cdots \times_Y X}_{k \text{ terms}} = \{(x_1, \dots, x_k) \in X^k : f(x_1) = \cdots = f(x_k)\}.$$



Theorem

If X and Y are finite sets, and $f : X \rightarrow Y$ is a map such that any given fiber has at most d elements, then the cardinality of the image set of f is

$$|V_f| = \sum_{i=1}^d (-1)^{i-1} N_i \sigma_i \left(1, \frac{1}{2}, \dots, \frac{1}{d} \right),$$

where $N_k = |X^{\times_Y k}|$ and σ_i denotes the i th elementary symmetric polynomial on d elements.



- ▶ $V_{f,i} = \{x \in V_f : |f^{-1}(x)| = i\}$ with $1 \leq i \leq d$ forms a partition of V_f .
- ▶ Let $m_i = |V_{f,i}|$. Thus $m_1 + \cdots + m_d = |V_f|$. Introduce a new value $\xi = -|V_f|$. We then have:

$$m_1 + \cdots + m_d + \xi = 0$$

- ▶ Define the space $\tilde{N}_k = X^{\times y^k}$. Then $N_k = |\tilde{N}_k|$.
- ▶ By a counting argument,

$$m_1 + 2^k m_2 + \cdots + d^k m_d = N_k$$



Proof Outline II

Arrange this into a system of equations:

$$\begin{pmatrix} 1 & 1 & \cdots & 1 & 1 \\ 1 & 2 & \cdots & d & 0 \\ 1 & 2^2 & \cdots & d^2 & 0 \\ \vdots & \vdots & \cdots & \vdots & \vdots \\ 1 & 2^d & \cdots & d^d & 0 \end{pmatrix} \begin{pmatrix} m_1 \\ m_2 \\ m_3 \\ \vdots \\ \xi \end{pmatrix} = \begin{pmatrix} 0 \\ N_1 \\ N_2 \\ \vdots \\ N_d \end{pmatrix}$$

Solve for ξ using Cramer's rule.

Warning: determinant magic!



Variations on a Theme of Matrices

You can just as reasonably solve for m_j through the same process:

Theorem

If X and Y are finite sets, and $f : X \rightarrow Y$ is a map such that any given fiber has at most d elements, then for any positive integer $j \leq d$, the number of points in the co-domain whose fiber has exactly j elements is

$$m_j = \binom{d}{j} \frac{1}{j} \sum_{i=1}^d (-1)^{i+j} N_i \sigma_{i-1} \left(1, \frac{1}{2}, \dots, \frac{1}{j-1}, \frac{1}{j+1}, \dots, \frac{1}{d} \right),$$

where $N_k = |X^{\times k}|$ and σ_i denotes the i th elementary symmetric polynomial on $d-1$ elements.

Warning: (similar) determinant magic!



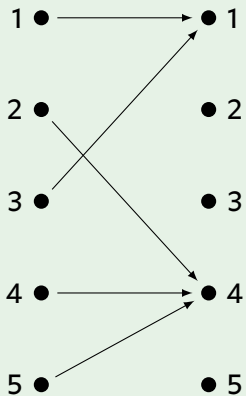
The Fiber Signature

- ▶ Note that by calculating (m_1, \dots, m_d) you know a profound amount about the map.
- ▶ We refer to this value as the **fiber signature**.
- ▶ Trivially, if we have the fiber signature, we can calculate the size of the value set.



An Example Map

Example



Example (Value Set Cardinality)

Example

j	m_j	N_j	$\sigma_j(1, \frac{1}{2}, \frac{1}{3})$
1	0	5	$\frac{11}{6}$
2	1	13	1
3	1	35	$\frac{1}{6}$

$$|V_f| = 5 \cdot \frac{11}{6} - 13 \cdot 1 + 35 \cdot \frac{1}{6} = 2.$$



Example (Fiber Signature)

Example

j	N_j	$\sigma_{j-1}(\frac{1}{2}, \frac{1}{3})$	$\sigma_{j-1}(1, \frac{1}{3})$	$\sigma_{j-1}(1, \frac{1}{2})$
1	5	1	1	1
2	13	$\frac{5}{6}$	$\frac{4}{3}$	$\frac{3}{2}$
3	35	$\frac{1}{6}$	$\frac{1}{3}$	$\frac{1}{2}$

$$m_1 = \binom{3}{1} \cdot \frac{1}{1} \cdot \left(5 \cdot 1 - 13 \cdot \frac{5}{6} + 35 \cdot \frac{1}{6} \right) = 0$$

$$m_2 = \binom{3}{2} \cdot \frac{1}{2} \cdot \left(-5 \cdot 1 + 13 \cdot \frac{4}{3} - 35 \cdot \frac{1}{3} \right) = 1$$

$$m_3 = \binom{3}{3} \cdot \frac{1}{3} \cdot \left(5 \cdot 1 - 13 \cdot \frac{3}{2} + 35 \cdot \frac{1}{2} \right) = 1.$$

Section 4

Counting the Value Set of Morphisms in Affine Varieties



Corollary

Let a be a positive integer, p be a prime, $q = p^a$, and $f(x) \in \mathbb{F}_q[x]$ be a polynomial with positive degree d . There is a deterministic algorithm that calculates the cardinality of the value set, $|V_f|$ in \mathbb{F}_q , and more generally the fiber signature of f , with computational complexity

$$\tilde{O}\left(2^{6d-1}\lambda^{4d+3}d^{8d+1}a^2p^{1/2}\right) \text{ bit operations,}$$

where $\lambda = \max(a, \lceil (d+1)/2 \rceil)$.



Proof Outline

- ▶ Surely there are no more than d elements in any given pre-image.
- ▶ The spaces we are looking at are thus of the form:

$$\begin{aligned} \tilde{N}_k &= \left\{ (x_1, \dots, x_k) \in \mathbb{F}_q^k : f(x_1) = \dots = f(x_k) \right\} \\ &= \left\{ (x_1, \dots, x_k) \in \mathbb{F}_q^k \left| \begin{array}{l} f(x_1) - f(x_2) = 0 \\ f(x_1) - f(x_3) = 0 \\ \vdots \\ f(x_1) - f(x_k) = 0 \end{array} \right. \right\} \end{aligned}$$

- ▶ For N_k , apply the point counting algorithm to the polynomials for g_1 to g_{k-1} , where

$$g_i(x_1, \dots, x_k) = f(x_1) - f(x_{i+1}).$$

- ▶ Calculate N_1 to N_d and the relevant elementary symmetric polynomials.
- ▶ PROFIT!



Theorem

If there is a positive integer \mathcal{D} so that $|(f|_q)^{-1}(y)| \leq \mathcal{D}$ for all $y \in V_f$, then there is a deterministic algorithm to calculate the cardinality of the value set of $f|_q$, and more generally the fiber signature of f , with computational complexity

$$\tilde{O}\left(2^{\mathcal{D}(\ell+s+r)-s} \mathcal{D}(\mathcal{D}r + 2d_+ \lambda + 2\lambda)^{4\mathcal{D}r} \lambda^3 a^2 p^{1/2}\right) \text{ bit operations,}$$

where $\lambda = \max(a, \lceil (\mathcal{D}r + 1)/2 \rceil)$ and $d_+ = \sum_{i=1}^{\mathcal{D}\ell + (\mathcal{D}-1)s} d_i$.



(My Apologies for) The General Case

- ▶ By hypothesis, there are no more than \mathcal{D} elements in any given pre-image.
- ▶ The spaces we are looking at are thus of the form:

$$\begin{aligned} \tilde{N}_k(\mathbb{F}_q) &= \left\{ (x^{(1)}, \dots, x^{(k)}) \in X(\mathbb{F}_q)^k : f(x^{(1)}) = \dots = f(x^{(k)}) \right\} \\ &= \left\{ (x^{(1)}, \dots, x^{(k)}) \in (\mathbb{F}_q^r)^k \left| \begin{array}{l} \alpha(x^{(1)}) = 0 \\ \vdots \\ \alpha(x^{(k)}) = 0 \\ f(x^{(1)}) - f(x^{(2)}) = 0 \\ \vdots \\ f(x^{(1)}) - f(x^{(k)}) = 0 \end{array} \right. \right\} \end{aligned}$$

- ▶ This is a total of $kl + (k-1)s$ polynomials, each in kr variables.
- ▶ Calculate N_1 to $N_{\mathcal{D}}$, and scale by the relevant elementary symmetric polynomials.



Lemma

If $f : X \rightarrow Y$ is a finite dominant morphism and $\mathcal{O}(X)$ is generated by t elements or fewer as an $\mathcal{O}(Y)$ -module (via the induced $\bar{\mathbb{F}}_q$ -algebra homomorphism f^), then $|f^{-1}(y)| \leq t$ for all $y \in Y$. If X is irreducible, then the fibers of f have cardinality at most the degree of f .*



Additional Corollaries I

Corollary

If X is irreducible and f is a finite dominant morphism from X to Y of fixed degree d , then there is a deterministic algorithm to calculate the cardinality of the value set of $f|_q$, and more generally the fiber signature of $f|_q$, with computational complexity described in the prior theorem, with $\mathcal{D} = d$.

Corollary

If f is a finite dominant morphism, and $\mathcal{O}(X)$ is generated by a set of t elements from $\mathcal{O}(Y)$ (via the induced $\bar{\mathbb{F}}_q$ -algebra homomorphism f^), then there is a deterministic algorithm to calculate the cardinality of the value set of $f|_q$, and more generally the fiber signature of $f|_q$, with computational complexity described in the prior theorem, with $\mathcal{D} = t$.*



Corollary

If f is a finite dominant morphism from $\mathbb{A}_{\mathbb{F}_q}^r$ to $\mathbb{A}_{\mathbb{F}_q}^r$ of fixed degree d , then there is a deterministic algorithm to calculate the cardinality of the value set of $f|_q$, and more generally the fiber signature of $f|_q$, with computational complexity

$$\tilde{O}\left(2^{2dr-r} d(dr + 2d_+ \lambda + 2\lambda)^{4dr} \lambda^3 a^2 p^{1/2}\right) \text{ bit operations,}$$

where $\lambda = \max(a, \lceil (dr + 1)/2 \rceil)$ and $d_+ = \sum_{i=1}^{(d-1)r} d_i$.



Section 5

Amortized Algorithms



Amortized Across Many Characteristics

Theorem

Let r, s, N and R be positive integers. Let f be an s -tuple of polynomials $f(x) = (f_1(x), \dots, f_s(x))$, where $f_i(x) = \mathbb{Z}[x_1, \dots, x_r]$, where the total degree of f_i is d_i .

If there is a positive integer \mathcal{D} so that $|(f|_{p^R})^{-1}(y)| \leq \mathcal{D}$ for all $y \in \mathbb{F}_{p^R}^s$ and for all primes $p < N$, then there is a deterministic algorithm to calculate the cardinality of the value set of $f|_{p^w}$, and more generally the fiber signature of $f|_{p^w}$, for all $w \leq R$ and all primes $p < N$, with computational complexity

$$\tilde{O}\left(2^{\mathcal{D}(8\mathcal{D}r^2+17r+s)-s+1}\mathcal{D}^{4\mathcal{D}r+8}r^{4\mathcal{D}r+6}((\mathcal{D}-1)d_+ + 2)^{\mathcal{D}r(4\mathcal{D}r+7)}N \log \|f\| + ND^2R^2r2^{(\mathcal{D}-1)s}(4(\mathcal{D}-1)d_+ + 5)^{\mathcal{D}r}\right) \text{ bit operations,}$$

where $d_+ = \sum_{i=1}^s d_i$ and $\|f\| = \prod_{j=1}^s \|f_j\|$.

- ▶ Use the amortized cost zeta function calculation algorithm to find all zeta functions for $\tilde{N}_{k,p}$.
- ▶ Extract the number of \mathbb{F}_{p^w} -rational points for each of these varieties for all $w \leq R$.
- ▶ Apply the combinatorial results used previously.
- ▶ Larger scale profit
- ▶ (but at what cost?)



Doubly Amortized: Single Variable Case

Corollary

Let N and R be positive integers and f be a polynomial $f(x) \in \mathbb{Z}[x]$ of positive degree d . There is a deterministic algorithm to calculate the cardinality of the value set of $f|_{p^w}$, and more generally the fiber signature for $f|_{p^w}$, for all positive integers $w \leq R$ and for all primes $p \leq N$ with computational complexity

$\tilde{O}\left(2^{d(8d+18)} d^{8d^2+18d+8} N \log \|f\| + NR^2 2^{3d-1} d^{2d+2}\right)$ bit operations.



Fixed Single Variable Polynomial Case

$$\pi(N) \sim \frac{N}{\log N}.$$

- ▶ Divide by the number of expected values $\pi(N)R$.

Cor.	Complexity (bit operations)
Single Value	$\tilde{O}(R^2 N^{1/2})$
Amortized	$\tilde{O}(R^{-1} N^{1/2} + R \log N)$
Doubly Amortized	$\tilde{O}(R \log N)$



Section 6

Conclusion



Conclusion

- ▶ Adapted and analyzed point counting and zeta function calculation algorithms.
- ▶ Combinatorial results linking the iterated fiber product and the cardinality of the value set (and fiber signature).
- ▶ Calculation of the cardinality of the value set (and fiber signature) for certain types of finite morphisms between affine varieties over finite fields.
- ▶ Two types of “amortized cost” algorithms, whose cost per result is excellent.



- ▶ Additional applications of the fiber signature.
- ▶ Adapt Harvey's approach to the function field case.
- ▶ Refine dependence of asymptotic finding on the function degree.



Thanks!



THE BEST THESIS DEFENSE IS A GOOD THESIS OFFENSE.

Randall Munroe, xkcd.com

- ▶ The principal font is Evert Bloemsma's 2004 humanist san-serif font Legato. This font is designed to be exquisitely readable, and is a significant departure from the highly geometric forms that dominate most san-serif fonts. Legato was Evert Bloemsma's final font prior to his untimely death at the age of 46.
- ▶ Mathematical symbols are from the MathTime Professional II (MTPro2) fonts, a font package released in 2006 by the great mathematical expositor Michael Spivak.
- ▶ The URLs are typeset in Luc(as) de Groot's 2005 Consolas, a monospace font with excellent readability.
- ▶ Diagrams were produced in TikZ.

